

2828
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Alexander Ksendzov
Application No. : 10/057,472
Filed : January 25, 2002
Title : **RING RESONATOR BASED NARROW-LINEWIDTH SEMICONDUCTOR LASERS**
Group/Unit : 2828
Examiner : Al Nazer, Leith A.
Reference : 00079795-0032

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Mary Helen Lopez
Mary Helen Lopez

AMENDMENT/RESPONSE TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop: Non-Fee Amendment

Enclosed is an amendment to the above-identified application.

CALCULATION OF FEES						
ITEM		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PAID FOR	EXCESS CLAIMS X RATE	SUBTOTAL	FEE
A	TOTAL CLAIM FEES	16	20	0 x \$9		
B	INDEPENDENT CLAIMS	2	3	1 x \$42		
C	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)					
D	MULTIPLE-DEPENDENT CLAIMS FEE***	SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$ 280.00				
E	TOTAL FILING FEE				0	
F	NO ADDITIONAL FEE REQUIRED****	IF NO FEE REQUIRED, INSERT "0"			0	

List Independent Claims: 1 and 12

* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3
** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "3" IN COLUMN 3
*** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME
**** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"

_____ Attach is our check for \$ _____ to pay the fees calculated above.
_____ A Petition for Extension of Time and the required fee are enclosed.
_____ Other enclosures:

Authorization and Address for Correspondence:

X The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 501215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. **A copy of this letter is enclosed.**

Please address all correspondence to **J.D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071.**

Respectfully submitted,
COUDERT BROTHERS LLP

Date: May 12, 2003

By: *J.D. Harriman II*
J. D. Harriman II
Reg. No. 31,967

COUDERT BROTHERS LLP
333 South Hope Street, Suite 2300
Los Angeles, California 90071
(213) 229-2900

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

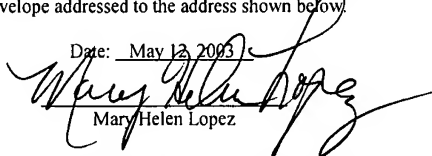
Applicant(s) : Alexander Ksendzov
Application No. : 10/057,427
Filing Date : January 25, 2002
Title : **Ring Resonator Based Narrow-Linewidth Semiconductor Lasers**
Group/Art Unit : 2828
Examiner : Al Nazer, Leith A
Reference No. : 0007975-0032

#1/Amndt B
A. Nazer
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Date: May 12, 2003


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AMENDMENT AND RESPONSE

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COUDERT BROTHERS LLP
333 South Hope Street, Suite 2300
Los Angeles, California 90071
May 12, 2003

Assistant Commissioner for Patents
Box AF
Washington, D.C. 20231

Commissioner:

This is an amendment and response to the outstanding Non-final Office Action dated February 12, 2003 in the present application.